



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:)	
)	Group Art Unit: 2633
Chi Au et al.)	
)	Examiner: Unassigned
Application No. 10/615,318)	
)	Atty. Docket No. MAL1P001
Filed: 07/07/2003)	
)	Date: December 3, 2003
For: OPTICAL ISOLATOR, ATTENUATOR)	
AND POLARIZER SYSTEM AND)	
METHOD FOR INTEGRATED OPTICS)	
)	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 3, 2003.

Signed:


Erica L. Farlow

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56 AND 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1351 (Order No. MAL1P001).

Respectfully submitted,
Silicon Valley IP Group, PC

Kevin J. Zilka
Reg. No. 41,429

P.O. Box 721120
San Jose, CA 95172-1120
Telephone: (408) 971-2573



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No.	Application No.:
	MAL1P001	10/615,318
	Applicant:	
	Chi Au et al.	
	Filing Date:	Group Art Unit:
	7/7/2003	2633

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,996,880	12/07/1999	Chu et al.	228	180.21	04/06/1998
	B	5,982,653	11/09/1999	Chu	365	63	08/06/1997
	C	5,941,447	08/24/1999	Chu et al.	228	180.21	09/28/1998
	D	5,856,937	01/05/1999	Chu et al.	365	51	06/23/1997
	E	5,841,686	11/24/1998	Chu et al.	365	51	11/22/1996
	F	5,651,176	07/29/1997	Ma et al.	29	740	06/30/1995
	G	5,623,813	04/29/1997	Ma	53	467	08/11/1995
	H	5,486,723	01/23/1996	Ma et al.	257	707	11/07/1994
	I	5,463,705	10/31/1995	Clauberg et al.	385	14	08/10/1994
	J	5,441,150	08/15/1995	Ma	206	707	06/29/1994
	K	5,428,695	06/27/1995	Ohta	385	14	08/09/1994
	L	5,362,985	11/08/1994	Ma et al.	257	707	05/27/1993
	M	6,288,827	9/11/2001	Kawai et al.	359	283	02/26/1999
	N	6,297,901	10/02/2001	Kim	359	281	01/14/2000

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	O							
	P							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	Born, M. & Wolf, E., "Principles of Optics 1999 Cambridge University Press"
	S	Dutton, Harry J.R., "Understanding Optical Communications", Prentice Hall, 12/1/1998.
	T	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.